

檔 號：  
保存年限：

## 路博邁證券投資信託股份有限公司 函

地址：台北市信義區忠孝東路五段68號20樓

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受文者：滙豐(台灣)商業銀行股份有限公司

發文日期：中華民國114年10月2日

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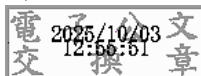
附件：(0000102\_2025shareholdernotice.pdf、0000102\_2025sharenotice英文.pdf)

主旨：為通知 貴公司有關本公司總代理之境外基金，敬請查照  
並協助辦理

說明：詳情請見股東通知信函如附，敬請查照並懇請 貴公司協助  
辦理後續事宜，並請轉知予投資人。

正本：國泰世華商業銀行股份有限公司、玉山商業銀行股份有限公司、凱基商業銀行股份有限公司、臺灣新光商業銀行股份有限公司、元大商業銀行股份有限公司、兆豐國際商業銀行股份有限公司、華泰商業銀行股份有限公司、三信商業銀行股份有限公司、安泰商業銀行股份有限公司、京城商業銀行股份有限公司、永豐商業銀行股份有限公司、臺灣中小企業銀行股份有限公司、合作金庫商業銀行、第一商業銀行股份有限公司、陽信商業銀行股份有限公司、台新國際商業銀行股份有限公司、台北富邦商業銀行股份有限公司、高雄銀行股份有限公司、華南商業銀行股份有限公司、台中商業銀行股份有限公司、瑞興商業銀行股份有限公司、聯邦商業銀行股份有限公司、王道商業銀行股份有限公司、遠東國際商業銀行股份有限公司、中國信託商業銀行股份有限公司、板信商業銀行股份有限公司、渣打國際商業銀行股份有限公司、彰化商業銀行股份有限公司、滙豐(台灣)商業銀行股份有限公司、星展(台灣)商業銀行股份有限公司、元大證券股份有限公司、凱基證券股份有限公司、永豐金證券股份有限公司、元富證券股份有限公司、國泰綜合證券股份有限公司、基富通證券股份有限公司、富邦人壽保險股份有限公司、法商法國巴黎人壽保險股份有限公司台灣分公司、合作金庫人壽保險股份有限公司、安聯人壽保險股份有限公司、保誠人壽保險股份有限公司、安達國際人壽保險股份有限公司、台灣人壽保險股份有限公司

副本：



**NEUBERGER BERMAN INVESTMENT FUNDS PLC**

*32 Molesworth Street*

*Dublin 2*

*Ireland*

*An Investment Company with Segregated Liability between Sub-Funds*

The directors of Neuberger Berman Investment Funds plc (the "Directors") accept full responsibility for the accuracy of the information contained in this notice and confirm, having made all reasonable enquiries, that, to the best of their knowledge and belief, there are no other facts, the omission of which would make any statement misleading.

This notice is important and requires your immediate attention. If you are in doubt as to the action you should take you should seek advice from your stockbroker, bank manager, solicitor, accountant, tax adviser or other independent financial adviser. If you have sold or transferred all of your Shares, please pass this notice at once to the purchaser or transferee or to the stockbroker, bank or other agent through whom the sale or transfer was effected for transmission to the purchaser or transferee as soon as possible.

This notice has not been reviewed by the Central Bank of Ireland (the "Central Bank") and it is possible that changes thereto may be necessary to meet the requirements of the Central Bank. The Directors are of the opinion that there is nothing contained in this notice or in the proposals detailed herein that conflicts with the applicable regulations or guidance issued by the Central Bank.

2 October 2025

Dear Shareholder

**Neuberger Berman Investment Funds plc (the "Company")**

**Neuberger Berman High Yield Bond Fund**

**Neuberger Berman Short Duration High Yield Engagement Fund**

**Neuberger Berman European High Yield Bond Fund**

**Neuberger Berman Global High Yield Engagement Fund**

**Neuberger Berman Emerging Market Debt – Local Currency Fund**

**Neuberger Berman Emerging Market Debt – Hard Currency Fund**

**Neuberger Berman EMD Corporate – Social and Environmental Transition Fund**

**Neuberger Berman Short Duration Emerging Market Debt Fund**

**Neuberger Berman Emerging Market Debt Blend Fund**

**Neuberger Berman Emerging Market Debt Sustainable Investment Grade Blend Fund**

**Neuberger Berman Asia Responsible Transition Bond Fund**

**Neuberger Berman Sustainable Asia High Yield Fund**

**Neuberger Berman Sustainable Emerging Market Debt- Hard Currency Fund**

**Neuberger Berman Global Bond Fund**

**Neuberger Berman Strategic Income Fund**

**Neuberger Berman Corporate Hybrid Bond Fund**

**Neuberger Berman Global Opportunistic Bond Fund**

**Neuberger Berman Global Flexible Credit Income Fund**

**Neuberger Berman Global Investment Grade Credit Fund**

**Neuberger Berman Developed Market FMP - 2027**

**Neuberger Berman Euro Bond Absolute Return Fund**

**Neuberger Berman Short Duration Euro Bond Fund**

**Neuberger Berman Euro Bond Fund**

**Neuberger Berman Commodities Fund**

**Neuberger Berman Global Value Fund**

**Neuberger Berman CLO Income Fund**

**Neuberger Berman Tactical Macro Fund**

**Neuberger Berman U.S. Equity Premium Fund**

**Neuberger Berman Event Driven Fund**

Neuberger Berman US Long Short Equity Fund  
 Neuberger Berman US Small Cap Intrinsic Value Fund  
 Neuberger Berman US Small Cap Fund  
 Neuberger Berman US Multi Cap Opportunities Fund  
 Neuberger Berman US Equity Fund  
 Neuberger Berman US Large Cap Value Fund  
 Neuberger Berman Emerging Markets Equity Fund  
 Neuberger Berman Japan Equity Engagement Fund  
 Neuberger Berman US Real Estate Securities Fund  
 Neuberger Berman China A-Share Equity Fund  
 Neuberger Berman China Equity Fund  
 Neuberger Berman Uncorrelated Strategies Fund  
 Neuberger Berman Next Generation Connectivity Fund  
 Neuberger Berman Climate Innovation Fund  
 Neuberger Berman Global Equity Megatrends Fund  
 Neuberger Berman Next Generation Mobility Fund  
 Neuberger Berman InnovAsia Fund  
 Neuberger Berman Next Generation Space Economy Fund  
 Neuberger Berman Global Sustainable Equity Fund  
 Neuberger Berman European Sustainable Equity Fund  
 (each, a "Sub-Fund", and together, the "Sub-Funds")

We are writing to you in your capacity as a Shareholder in the Company to notify you of proposed changes to the Company. Capitalised terms that are used and not otherwise defined herein shall have the meaning ascribed to them in the prospectus of the Company dated 1 July 2025 (the "**Prospectus**").

We hereby give you notice of the decision of the Directors to update the Prospectus and the supplements of the Sub-Funds (each a "**Supplement**" and together, the "**Supplements**") as further outlined below.

## **1 Supplement Updates**

### **1.1 Supplement updates applicable to Neuberger Berman Corporate Hybrid Bond Fund.**

It is proposed to make the following updates to the Sub-Fund:

- i. An amendment will be made to the (i) "Instruments / Asset Classes" and (ii) "Investment Restrictions" sections of the Supplement to update a reference from the following index: *'ICE Bond Index Methodology Level 3'* to: *'Bloomberg Barclays Indices Global Sector Classification Scheme (BCLASS) Class 3'*.

This reason for this change is that the new referenced index better reflects the sectoral categories that the Sub-Investment Manager selects from.

- ii. A clarificatory update as marked in blue and underlined below will also be made to the "Instruments/ Asset Classes" section of the Supplement to reflect that "corporate hybrid securities are highly structured instruments issued by non-financial companies that combine both equity and fixed income features."

We confirm that the above changes will not have a material impact on the existing holdings or the investment strategy of this Sub-Fund.

### **1.2 Supplement updates applicable to Neuberger Berman US Multi Cap Opportunities Fund**

Amendments will be made to the "Instruments/Asset Classes" section to permit a 5% exposure to collective investment schemes, including exchange traded funds.

We confirm that the above changes will not have a material impact on the existing holdings of this Sub-Fund.

**1.3 Supplement updates applicable to Neuberger Berman Global Equity Megatrends Fund and Neuberger Berman Global Investment Grade Credit Fund**

The "SFDR Annex" for these Sub-Funds will be revised to introduce a minimum commitment of 10% sustainable investments. Each Sub-Investment Manager will take into account the principal adverse impacts indicators (the "PAIs") outlined below when determining whether the sustainable investments that these Sub-Funds intend to make do not cause significant harm to any environmental or social sustainable investment objective: PAI 1- GHG emissions; PAI 2 - Carbon footprint; PAI 3 - GHG intensity of investee companies; PAI 4 - Exposure to companies active in the fossil fuel sector; PAI 5 - Share of non-renewable energy consumption and production; PAI 6 - Energy consumption intensity per high impact climate sector; PAI 7 - Activities negatively affecting biodiversity-sensitive areas; PAI 8 - Emissions to water; PAI 9 - Hazardous waste and radioactive waste ratio; PAI 10 - Violations of UN Global Compact principles and Organisation for Economic Cooperation and Development (OECD) Guidelines for Multinational Enterprises; PAI 11 - Lack of processes and compliance mechanisms to monitor compliance with UN Global Compact principles and OECD Guidelines for Multinational Enterprises; PAI 12 - Unadjusted gender pay gap; PAI 13 - Board gender diversity; and PAI 14 - Exposure to controversial weapons (anti-personnel mines, cluster munitions, chemical weapons and biological weapons).

**1.4 Supplement updates applicable to Neuberger Berman Global Flexible Credit Income Fund**

The "SFDR Annex" for this Sub-Fund will be revised to (i) broaden the controversial weapons exclusion so that, on a best-efforts basis, issuers involved with controversial weapons are excluded; and (ii) include an exclusion of securities issued by issuers that derive more than 30% of their revenue from thermal coal mining and/or distribution of thermal coal.

**1.5 Supplement updates applicable to Neuberger Berman US Large Cap Value Fund**

The Sub-Fund does not currently consider PAIs. The SFDR Annexes for the Sub-Fund will be updated to consider: PAI 10 (Violations of UN Global Compact principles and Organisation for Economic Cooperation and Development ("OECD") Guidelines for Multinational Enterprises) and PAI 14 (Exposure to controversial weapons (anti-personnel mines, cluster munitions, chemical weapons and biological weapons)), which are considered through the application of Neuberger Berman Global Standards Policy and Neuberger Berman Controversial Weapons Policy.

**1.6 Supplement updates applicable to NB EMD Corporate – Social and Environmental Transition Fund**

An amendment has been made to the "Instruments/Asset Classes" section to increase the Sub-Fund's maximum exposure to contingent convertible bonds from 10% to 25%.

We confirm that the above change will not have a material impact on the existing holdings or the investment strategy of this Sub-Fund.

**2 Miscellaneous Prospectus and Supplement Updates**

In addition, a number of additional minor amendments will also be made to the Prospectus and Supplements, including but not limited to, updates for clarity, updates for consistency of terminology, inclusion of additional definitions, updates to reflect passage of time changes and other miscellaneous non-material updates. It is also possible that further amendments may be made to the documents following the date of this notice to address the Central Bank's comments which arise during its review of the documents.

### 3 Next Steps

The proposed updates to the Prospectus and Supplements (the "**Fund Document Updates**") will not (a) have a material impact on (i) the investment objectives and policies of the Sub-Funds; (ii) the manner in which the Sub-Funds are being operated and managed; and (iii) the features and overall risk profiles of the Sub-Funds; and (b) increase the level of fees payable by the Sub-Funds and the Shareholders, or materially change the fee level / cost in managing the Sub-Funds. It is also not expected that the Shareholders will be materially affected or prejudiced as a result of the above changes.

The Fund Document Updates do not require Shareholders' approval. The purpose of this notice is to provide Shareholders with notification of the Fund Document Update and therefore no action is required by you.

Shareholders who do not wish to remain invested in the Sub-Funds as a result of the Fund Document Updates may request redemption or switching of their Shares on any Dealing Day in accordance with the normal procedures as set out in the Prospectus and Supplements. Currently, no redemption or switching fee is charged by the Company on redemption or switching of Shares. However, please note that additional fees and service charges in respect of redemption or switching of Shares may be payable by Shareholders to intermediaries/distributors through whom they invest such amount as they may agree with the relevant intermediaries/distributors.

Certain local language translations of this notice are available on request.

Finally, the costs incurred in relation to the changes discussed above will be borne by each relevant Sub-Fund.

Subject to Central Bank approval, it is expected that the Fund Document Updates will be effective on or around 27 November 2025. The Fund Document Updates will be reflected in updated versions of the Prospectus and Supplements, which will be available to Shareholders free of charge upon request.

Should you have any queries in relation to this matter, please do not hesitate to contact your sales representative, or contact Neuberger Berman's Funds Client Services team in the UK at +44 (0)20 3214 9096, in Ireland at +353 (0)1 264 2795 or by email to [Funds\\_CSEurope@nb.com](mailto:Funds_CSEurope@nb.com) if you would like further information.

Yours sincerely,



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For and on behalf of

**NEUBERGER BERMAN INVESTMENT FUNDS PLC**

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

**(中文摘錄暨節譯文)**

**路博邁投資基金**

32 Molesworth Street  
Dublin 2  
Ireland

*包含責任各自分離之子基金之投資公司*

路博邁投資基金之董事（下稱「董事」）對本通知所含資訊之正確性完全負責，並經所有合理之詢問後，依其最佳之所知所信，確認並無其他事實之遺漏將導致任何誤導陳述。

本通知十分重要且須台端的立即注意。若台端對所須採取之行動有疑問，台端應該向台端的券商、銀行經理人、律師、會計師、稅務顧問或其他獨立財務顧問尋求建議。若您業已出售或轉讓所有股份，請立即將本通知一次轉交買受人或受讓人，或透過券商、銀行或其他使該出售或轉讓生效之代理人，以儘速轉達給買受人或受讓人。

本通知尚未經愛爾蘭中央銀行（下稱「中央銀行」）審閱，且本通知可能必須變更以符合中央銀行之規定。董事認為本通知所含資訊或所述之提案，均未與其所適用之中央銀行法規或指引相衝突。

2025 年 10 月 2 日

親愛的股東，

**路博邁投資基金（下稱「本公司」）**

**NB 非投資等級債券基金（本基金配息來源可能為本金且主要投資於符合美國 Rule 144A 規定之私募性質債券）**

**NB 新興市場本地貨幣債券基金（本基金有相當比重投資於非投資等級之高風險債券且配息來源可能為本金）**

**NB 策略收益基金（本基金主要係投資於非投資等級之高風險債券且配息來源可能為本金）**

**NB 美國小型企業基金**

**NB 美國多元企業機會基金**

**NB 新興市場股票基金**

**NB 美國房地產基金（基金之配息來源可能為本金）**

**NB 次世代通訊基金（舊名稱：Neuberger Berman 5G Connectivity Fund）**

董事： Michelle Green (英國), Naomi Daly, Gráinne Alexander and Mary Brady  
公司註冊辦公室號碼 336425

**(各稱「子基金」，合稱「子基金」)**

謹致函本公司之股東以通知 台端有關本公司之提議變更。本處使用但未經定義之詞彙，應與本公司2025年7月1日之公開說明書（下稱「**公開說明書**」）所使用之詞彙，具有相同涵義。

謹通知 台端有關更新公開說明書及子基金之補充文件（各稱「**補充文件**」，合稱「**補充文件**」）之董事決議，如下所述。

**1. 補充文件之更新**

1.1 (略)

**1.2 適用於 NB 美國多元企業機會基金 (Neuberger Berman US Multi Cap Opportunities Fund) 之補充更新**

將對「工具/資產類別」章節進行修訂，以允許最高 5% 之投資曝險於集合投資計畫，包括指數股票型基金(exchange traded funds)。

我們確定，上述變動將不會對本子基金之現有持股或投資策略產生任何重大影響。

(略)

**2. 其他公開說明書與補充文件之更新**

此外，本次亦將對公開說明書及補充文件進行若干其他細部修訂，包括但不限於：為釐清之目的所做之修正、用語一致性之更新、增列其他定義、反映時間推移所需之調整，以及其他非重大之修訂。另在本通知日後，如因中央銀行於文件審查過程中提出意見，亦可能進一步對文件內容進行修訂。

**3. 下一步**

對公開說明書及補充文件之提議更新（下稱「**基金文件更新**」），將不會(a)對下述事項產生重大影響 (i)子基金之投資目標和政策；(ii)子基金運用和管理的方式；及(iii)子基金之特徵及整體風險概況；以及(b)提高子基金或股東應付費用的等級，

(中譯文僅供參考，與原文相較不盡完整，亦有歧異，如有疑義應以英文本為準)

或重大變更管理子基金之費用/成本。也並未預期股東將因上述變更受到重大影響或損害。

基金文件更新毋庸股東核准。本通知之目的僅為告知股東有關基金文件更新，因此台端無需採取任何行動。

股東如因基金文件更新而不擬繼續投資該子基金，台端得於任一交易日，根據公開說明書及補充文件所載之一般程序要求買回或轉換其股份。目前，本公司不會就買回或轉換股份收取任何買回或轉換費用。然而，請注意，股東可能須依其與其投資所經的中介機構 / 銷售機構所約定之金額，支付有關買回或轉換股份的額外費用及服務費用。

某些當地語言翻譯的版本得於經要求後提供。

最後，因上開所述變更所產生之費用，由各相關子基金負擔。

基金文件更新預計將於 2025 年 11 月 27 日前後生效，惟須經中央銀行核准。基金文件更新將反映於更新版本公開說明書及補充文件中，股東得於經要求後免費取得。

如台端對此事宜有任何疑問，請不吝與台端之銷售代表或與路博邁臺灣總代理人（電話：+886 2 8726 8281，地址：中華民國臺北市 110 信義區忠孝東路 5 段 68 號 20 樓）取得聯繫。

誠摯地



代表

**路博邁投資基金**